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Richard L. Marks

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EXAMINER

WANG, KENT F

ART UNIT

PAPER NUMBER

2622

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**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/758,817	<b>Applicant(s)</b> MARKS, RICHARD L.	
	<b>Examiner</b> KENT WANG	<b>Art Unit</b> 2622	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 14 April 2009.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-4, 6-11, 13-15 and 17-40 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-4, 6-11, 13-15 and 17-40 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

## **DETAILED ACTION**

### ***Response to Amendment***

1. The amendments, filed 04/14/2009, have been entered and made of record. Claims 1-4, 6-11, 13-15 and 17-40 are pending.

### ***Response to Arguments***

2. Applicant's arguments with respect to 1-4, 6-11, 13-15 and 17-40 have been considered but are moot in view of the new ground(s) of rejection.

### ***Claim Rejections - 35 USC § 103***

3. The text of those sections of Title 35, U.S. Code not included in this action can be found in a prior Office action.
4. Claims 1, 3-4, 6-11, 13-15, 17-32, 34-35, 37 and 39-40 are under 35 U.S.C. § 103(a) as being unpatentable over Gvili, "Depth Keying" SPIE Vol. 5006 (2003) in view of Luo, US 2002/0093670, and further in view of Clarke, US 4,591,842.

Regarding claim 1, Gvili discloses a method for differentiating between foreground objects and background objects within a scene being captured through an image capture device (a novel depth video camera), comprising:

- emitting a ray of light from a light source toward an object of the scene (generating a light wall, Para 3.1, pp 566-567);

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- opening an aperture cover allowing access to a sensor of the image capture device for reflected light from the light source (deploying a fast image shutter in front of the CCD chip, page 567, lines 3-4);
- closing the aperture cover after a set time (the shutter is precisely controlling the exposure time of the CCD, page 567, lines 17-18), the predefined amount of time corresponding to a maximum distance traveled by the light (a real-time trimap is generated for each frame based on the original depth matte, page 569, lines 22-23); and
- generating a depth mask identifying objects within a foreground region and a background region of the scene (foreground objects can be generated by setting the depth measurement window, page 568, section 3.2) based upon the light captured during the set time (a real-time trimap is generated for each frame based on original depth matte, page 569, 4th paragraph), the depth mask identifying objects within the foreground region with a first bit value (full value pixels representing foreground pixels in the color channel) and identifying objects within the background region with a second bit value (zero value pixel representing background) (page 564, 6<sup>th</sup> paragraph).

Gvili does not specifically teach the adjusting an image capture device parameter according to bit values of the depth mask for one or more of a sequence of captured image frames of the scene, wherein the image capture device parameter is selected from one of brightness, exposure or gain.

However, Luo does teach the adjusting an image capture device parameter according to bit values of the depth mask for one or more of a sequence of captured image frames of the scene, wherein the image capture device parameter is selected from brightness (the subject emphasizing step may include the step of altering the background by reduced brightness to produce a spotlight effect on the main subject) ([0016], Luo).

Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have included the subject emphasizing method as taught by Luo into Gvili's digital camera, as the subject content analysis and image modifications are performed automatically, and artifacts due to errors in content analysis are not noticeable and objectionable ([0018], Luo).

Gvili and Luo do not specifically teach applying the adjusted image capture device parameter so that the captured image frames is adjustable independently for both of the objects within the foreground region and the objects within the background region.

However Clarke does teach applying the adjusted image capture device parameter so that the captured image frames is adjustable independently for both of the objects within the foreground region and the objects within the background region (controlling the colors of a raster graphic system in which the background or foreground behaviors can be independently changed) (3:1-4 and 4:55-5:4, Clarke).

Thus, it would have been obvious to one of ordinary skill in the art at the time the invention was made to have included color adjusting step as taught by Clarke into Gvili and Luo's digital camera, as the combination could providing an improved method for controlling the colors of a raster graphic system in which the memory requirements are

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decreased without decreasing the number of behaviors the system can display (2:64-68, Clarke).

Regarding claim 3, Gvili discloses the light source is configured to emit infrared light (IR laser diodes, page 567, lines 15-16).

Regarding claim 4, Gvili discloses a method operation of opening an aperture cover allowing access to a sensor of the image capture device (the shutter is precisely controlling the exposure time of the CCD, page 567, lines 17-18) includes, receiving reflected light from the objects within the foreground region (light reflected from every object inside the depth measurement window, page 568, second paragraph of section 3.2).

Regarding claim 6, Gvili discloses a method operation of adjusting image capture device parameters according to bit values of the depth mask prior to capturing a subsequent corresponding image of the scene (ability to change the parameters of the depth window according to the scenario's need, page 568, section 3.2, first paragraph) includes, determining an optimal amount of light based upon the depth mask; and adjusting the aperture cover to allow the optimal amount of light into the image capture device (normalized depth of pixel can be calculated, page 567, lines 7-11).

Regarding claim 7, Gvili discloses the image capture device parameters are adjusted through mechanical adjustments (depth key setting can be automatically set, page 568, third paragraph of section 3.2).

Regarding claim 8, this claim recites same limitations as claim 3. Thus it is analyzed and rejected as previously discussed with respect to claim 3 above.

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Regarding claim 9, this claim recites same limitations with features quite substantially similar as claim 1. Thus it is analyzed and rejected as previously discussed with respect to claim 1 above.

Regarding claim 10, Gvili discloses the method operation of generating a depth mask of the scene from data defining the image of the scene includes segmenting the foreground and background regions of the scene (automatically segmentation of the scene is possible, page 568, third paragraph of section 3.2).

Regarding claim 11, Gvili discloses the data defining the image of the scene includes pixel data where each pixel is tagged with distance information (calculate color distances between neighboring pixels, page 570, section d).

Regarding claim 13, Gvili discloses the image capture device is selected from the group consisting of a digital camera, a web cam, and a camcorder (a novel depth video camera, page 564, line 4).

Regarding claim 14, Gvili discloses the displaying a portion of the image of the scene having adjusted pixel values (a new matte is generated by combining the information from both the depth and the color frames, page 569, fourth paragraph and also page 571, first paragraph of section 4).

Regarding claim 15, Gvili discloses the portion of the image of the scene is an image of a participant for use in an interactive gaming application (man-machine interactions, page 572, and second paragraph of section 5).

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Regarding claim 17, this claim differs from claim 9 only in that the claim 9 is a method claim whereas claim 17 is an apparatus. Thus the apparatus claim 17 is analyzed and rejected as previously discussed with respect to claim 9 above.

Regarding claim 18, Gvili discloses the depth mask is a bit mask having a first logical value (full value pixels) assigned to represent the foreground objects and a second logical value (zero value pixels) assigned to represent the background objects (full value pixels representing foreground pixels in the color channel and zero value pixel representing background) (page 564, 6<sup>th</sup> paragraph).

Regarding claims 19, this claim recites same limitations as claim 4. Thus it is analyzed and rejected as previously discussed with respect to claim 4 above.

Regarding claim 20, Gvili discloses each logic element is one or a combination of hardware (i.e. shooting lens, depth sensor, as well as a camera) and software (i.e. a depth map, depth measurement window, and scene segmentation) (pp. 568-569, section 3.2 depth key setting)

Regarding claim 21, Gvili discloses the image capture device is a video capture device (a novel depth video camera, page 564, line 4).

Regarding claim 22, Gvili discloses the depth logic is further configured to periodically provide a depth mask for a sequence of video frames captured by the video capture device (step f of the process for iteration of alpha values, page 570).

Regarding claim 23, Gvili discloses the image capture device setting is adjusted through one of a mechanical or electrical adjustment (depth key setting can be automatically set, page 568, third paragraph of section 3.2).



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Regarding claim 24, Gvili discloses the image capture logic is further configured to adjust each pixel of image data of the scene (step d of the process shown the color distance calculation is performed for each pixel of the image data of the scene)( step d of the process for iteration of alpha values, page 570).

Regarding claim 25, this claim differs from claim 1 only in that the claim 9 is a method claim whereas claim 25 is an apparatus. Claim 25 further differs from claim 1 in that the limitations “a computing device” and “a display device” are additionally recited. Gvili teaches a computing device (a Pentium 3 machine, page 572, section 5) and a display (a video camera, page 564, line 4). Thus the apparatus claim 25 is analyzed and rejected as previously discussed with respect to claim 1 above

Regarding claim 26, Gvili discloses the computing device is a game console (game input device, page 572, section 5).

Regarding claim 27 and 28, these claims recite same limitations as claims 22 and 23, respectively. Thus they are analyzed and rejected as previously discussed with respect to claims 22 and 23 above.

Regarding claim 29, Gvili discloses the video capture device is a webcam (ZCam is used to generate depth keying during live broadcasts, page 568, line 5 and page 572, section 5).

Regarding claims 30 and 32, these claims recite same limitations as claim 11. Thus they are analyzed and rejected as previously discussed with respect to claim 11 above.

Regarding claim 31, Gvili discloses the scene image data includes an image of a person, the image of the person being incorporated into a video game for interaction therein (camera

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using depth-sensing technology are used in man-machine interactions) ( second paragraph of Summary, page 572).

Regarding claim 34, note the discussion of claim 1 above. Gvili does not disclose adjusting the captured image frames facilitates tracking of the objects within the foreground region by reducing brightness of the background region. However, Luo does teach adjusting the captured image frames facilitates tracking of the objects within the foreground region by reducing brightness of the background region (the subject emphasizing step may include the step of altering the background by reduced brightness to produce a spotlight effect on the main subject) ([0016], Luo).

Regarding claims 35, 37 and 39, these claims recite same limitations as claim 34. Thus they are analyzed and rejected as previously discussed with respect to claim 34 above.

Regarding claim 40, Gvili discloses the foreground and background regions define two layers of the scene (a foreground layer and a background layer); wherein the scene includes additional layers (multi-layered keys); and wherein the method operation of adjusting pixel values is performed for data defining the image corresponding to objects within any one of the layers of the scene (second paragraph on page 565 and 5<sup>th</sup> paragraph on page 566, Gvili).

5. Claim 2 is rejected under 35 U.S.C. § 103(a) as being unpatentable over Gvili in view of Luo and Clarke, and further in view of Tuomi, US 7,061,507.

Regarding claim 2, note the discussion of claim 1 above. Gvili, Luo and Clarke do not teach storing the depth mask in memory of the image capture device. However, Tuomi teaches storing the depth mask in memory of the image capture device (provided a Z-buffer 1902 for storing the Z-values relating to the depth of the pixel; see 12:15-36, Tuomi).

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It would have been obvious to one of ordinary skill in the art at the time this invention was made to have used a memory as taught by Tuomi as modified by Gvili, Luo and Clarke so that it provide multiple buffers for storing information (12:15-17, Tuomi).

6. Claims 33, 36 and 38 are rejected under 35 U.S.C. § 103(a) as being unpatentable over Gvili in view of Luo and Clarke, and further in view of Podoleanu, US 6,769,769.

Regarding claim 33, note the discussion of claim 1 above. Gvili, Luo and Clarke do not teach adjusting a focus parameter of the image capture device according to the bit values of the depth mask, so that the objects within the foreground region are in focus. However, Podoleanu does teach adjusting a focus parameter of the image capture device (an optical mapping apparatus) according to the bit values of the depth mask, so that the objects within the foreground region are in focus (Podoleanu teaches a method utilizes an optical mapping apparatus where the parameters of the said synchronizing procedure of the optical coherence depth adjusting means and focusing adjusting means, parameters which are the range, initial position and velocity of the focus adjusting means for a given depth range and velocity of the optical coherence depth scanning means, wherein each optical coherence image in either loop is validated by the brightness and regularity of the confocal image or one of the confocal images) (see claim 73 and 32:66-33:32, Podoleanu).

Thus, it would have been obvious to one of ordinary skill in the art to have included an optical mapping procedure as taught by Podoleanu into Gvili, Luo and Clarke's digital camera, as the Podoleanu procedure suggested an optical mapping algorithm with adjustable depth resolution and a multiple functionality to ensure that the confocal optical receiver operates at the maximum sensitivity (3:62-65, Podoleanu).

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Regarding claims 36 and 38, these claims recite same limitations as claim 33. Thus they are analyzed and rejected as previously discussed with respect to claim 33 above.

### ***Conclusion***

7. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure:

- Kim et al. (US 2004/0105032) provide a method of enhancing digital image quality that enables automatic contrast enhancement by being adaptively performed according to a document image having various characteristics input to an image processing apparatus including an image input device;
- Cavallaro (US 6,252,632) provides a system for enhancing the television presentation of an object by highlighting the object without significantly obscuring the image of the object; and
- Dolgoff (US 7,016,116) provides a viewer with an experience of three dimensional images by presenting a composite image source wherein a foreground image and a background image which are co-aligned, and employ at least one optical element that acts as a beamcombiner.

8. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a).

Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO**

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MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

9. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Kent Wang whose telephone number is 571-270-1703. The examiner can normally be reached on 8:00 A.M. - 5:30 PM (every other Friday off).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Sinh Tran can be reached on 571-272-7564. The fax phone number for the organization where this application or proceeding is assigned is 571-270-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://portal.uspto.gov/external/portal/pair>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free)? If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Tuan V Ho/

Primary Examiner, Art Unit 2622

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KW

5 May 2009